IRA/403(b) Transfer request due to divorce

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This form must be completed by the releasing account owner to authorize a transfer of assets from an existing Putnam IRA or 403(b) account(s) to a new or existing Putnam IRA or 403(b) account(s) for a former spouse resulting from a divorce or legal separation. You must submit a valid domestic relations order (DRO). This DRO must refer to the Putnam accounts and the amount(s) to be transferred from each account, and must be certified (in original ink) by the clerk of the issuing court within 120 days of Putnam's receipt. Please contact Putnam for details.

Transfers due to divorce must be made to a matching registration type (403(b) to 403(b), IRA to IRA). If you are using this form to authorize a transfer to a new Putnam IRA or 403(b) account, the applicable Putnam IRA or 403(b) adoption agreement must be provided by the former spouse in addition to this form.

If a redemption will be requested following the transfer due to divorce, the applicable Putnam IRA or 403(b) distribution form must be provided by the former spouse.

Note: In the case of an IRA, Putnam will accept a valid divorce decree in place of the DRO. The divorce decree must refer to the Putnam accounts and the amount(s) to be transferred from each account, and must be certified (in original ink) by the clerk of the issuing court within 120 days of Putnam's receipt.

Section 1 **Existing account owner information**

Name of account owner
First *MI* *Last* *Suffix* | *Social Security number (required)* | *Date of birth (mm/dd/yyyy; required)*

Contact phone number

Note: Providing a phone number above will replace the current contact information on file with Putnam (if applicable). If this field is left blank, no changes will be made.

Employer Plan Name (Required for 403(b) plans only)

Note: If you would like to update your name, address, bank account, or beneficiary information please contact Putnam for the appropriate paperwork.

Section 2 **Transfer from existing account registration instructions**

2A Transfer allocations

Please transfer the indicated dollar amount, share amount, or percentage allocation listed below. For additional account designations please attach a separate sheet of paper and include the information below.

Fund number		*Account number*		*Dollar amount*		*Share amount*		*Percentage*	
	—		$		or		or		%
	—		$		or		or		%
	—		$		or		or		%
	—		$		or		or		%
	—		$		or		or		%
	—		$		or		or		%

2B Earnings

Are earnings and losses to be determined on the transfer amounts above? ☐ Yes ☐ No

If yes, earnings/losses will be calculated from the valuation date provided below.

Valuation Date

Note: The Valuation Date may not be prior to the establishment of the Putnam IRA or 403(b).

Section 3 **Transfer to account registration instructions**

Funds will be transferred in kind based on the allocations in Section 2 unless otherwise indicated on this form, a separate letter of instruction, or a new IRA or 403(b) adoption agreement. If different funds or allocations are indicated, an exchange will take place following the transfer.

☐ **Transfer to a new Putnam IRA or 403(b) registration.** The applicable IRA or 403(b) adoption agreement must be provided by the receiving former spouse.

Name of the new registration's owner (required)

First *MI* *Last* *Suffix*	*Social Security number (required)*	*Date of birth (mm/dd/yyyy; required)*

☐ **Transfer to an existing Putnam registration**. Please provide the account number(s) below.

Account number	*Account number*	*Account number*

My signature below also indicates that if I am designating an investment in a fund that I do not already own I have read the fund prospectus(es) and agree to the terms therein.

Signature of ***receiving*** *former spouse*

Date (mm/dd/yyyy)

Print name of signature above

Note: The signature of the receiving former spouse is necessary to accept the transfer in kind into an existing registration. Authorization to accept the transfer and reallocate the investment may be provided through a separate letter of instruction signed by the receiving former spouse.

Section 4 **Authorization**

I authorize the transfers indicated on this form. A DRO/divorce decree which refers to the Putnam accounts and the amount(s) to be transferred must be provided. This DRO/divorce decree must be certified (in original ink) by the clerk of the issuing court within 120 days of Putnam's receipt.

The releasing account owner agrees to indemnify Putnam from all liabilities, losses, claims, demands, damages, costs, and expenses, including reasonable attorneys' fees and expenses, arising from any authorized transfer allocations. Putnam shall be fully protected in relying on and proceeding in accordance with any direction or notice provided directly or indirectly by the releasing account owner, including but not limited to the dollar amount, share amount, and/or percentage of account assets to be transferred as provided in Section 2. Putnam has no duty or responsibility to determine the correctness of the transfer, any discrepancies between the DRO/divorce decree and this form, or if the DRO/divorce decree is qualified under Internal Revenue Code section 414(p), if applicable.

A signature guarantee or medallion guarantee stamp is required on this form. A signature guarantee/medallion guarantee is a stamped assurance by a financial institution that indicates a signature is valid and has the financial backing of the institution. The guaranteed stamp should not be dated. If a signature/medallion guarantee is dated, it is only valid for that date.

Signature of releasing account owner

Date (mm/dd/yyyy)

PLACE SIGNATURE/MEDALLION GUARANTEE STAMP BELOW

The guarantee should not be dated

REQUIRED

Section 5 **Employer certification for 403(b) transfers**

Employer certification is required for transfers incident to divorce from a 403(b) plan account.

The undersigned hereby represents that he or she is an authorized representative of the Employer who established the plan named in Section 1 of this form, or an authorized representative of a Third Party Administrator ("TPA") designated by the Employer. The undersigned further represents that they are not aware of any facts or representations stated on this form that are inaccurate or incorrect that may impact the continued tax favored status of the plan under Section 403(b) of the Internal Revenue Code.

The Putnam 403(b)(7) custodial accounts are not intended for plans that are subject to the Employee Retirement Income Security Act of 1974, as amended ("ERISA"). However, for plans that are subject to ERISA, the undersigned hereby certifies that he or she has reviewed this request to make the above transfers from the account owner's 403(b) custodial account to the former spouse identified above and certifies that such transfer is made pursuant to a Qualified Domestic Relations Order and is in accordance with the provisions of the plan, the custodial account agreement and Section 403(b) of the Internal Revenue Code and ERISA. Accordingly, the undersigned directs Putnam to process the transfer as requested above.

Note: The account owner identified in Section 1 of this form is generally not permitted to be the same individual signing on behalf of the employer.

Name of employer/TPA representative

First *MI* *Last*

Date (mm/dd/yyyy)

Signature of employer/TPA representative

Title

Company name